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PTO/SB/21 (08-03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE aperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/810,160 Filing Date **TRANSMITTAL** March 25, 2004 First Named Inventor **FORM** Myeong-Je CHO Art Unit Not Yet Assigned (to be used for all correspondence after initial filing) **Examiner Name** Not Yet Assigned Attorney Docket Number 6 416272001301 Total Number of Pages in This Submission ENCLOSURES (Check all that apply) After Allowance Communication Drawing(s) Fee Transmittal Form to Group Appeal Communication to Board of Licensing-related Papers Fee Attached Appeals and Interferences Appeal Communication to Group Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please X Otner Endisciplination (Control of the Control of Extension of Time Request (1 page) Terminal Disclaimer Return Receipt Postcard Express Abandonment Request Request for Refund Information Disclosure Statement CD, Number of CD(s) w/Form 1449 (5 pages) Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm MORRISON & FOERSTER LLP Otis Littlefield - 48,751 Individual name to try Signature Date I hereby certify that this correspondence is being deposited with the U.S. Postal Service via First Class Mail, to the U.S. Patent and Trademark office on the date shown below. Signature: Valerie Cohen) Dated: April 30, 2004



#### CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on April 30, 2004.

Vallue Colon

Valerie Cohen

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Myeong-Je CHO et al.

Serial No.:

10/810,160

Filing Date:

March 25, 2004

For:

VALUE ADDED TRAITS IN GRAIN

AND SEED TRANFORMED WITH

THIOREDOXIN

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related application Serial Number 09/538,864, filed March 29, 2000, and, accordingly, copies are not included herewith. This protocol conforms with 37 C.F.R. § 1.98(d) and M.P.E.P. 609(A)(2). The Examiner is requested to make these documents of record in the application.

	This I	nformation Disclosure Statement is submitted:
	With t	he application; accordingly, no fee or separate requirements are required.
	Before	e the mailing of a first Office Action after the filing of a Request for Continued
	Exami	nation under § 1.114. However, if applicable, a certification under 37 C.F.R. §
	1.97(e	)(1) has been provided.
$\boxtimes$	Withir	three months of the application filing date or before mailing of a first Office
	Action	on the merits; accordingly, no fee or separate requirements are required.
	Howe	ver, if applicable, a certification under 37 C.F.R. § 1.97(e)(1) has been provided.
	After 1	receipt of a first Office Action on the merits but before mailing of a final Office
	Action	or Notice of Allowance.
		A fee is required. A check in the amount of is enclosed.
		A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached
		to this submission in duplicate.
		A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly; no fee
		is believed to be due.
	After	mailing of a final Office Action or Notice of Allowance, but before payment of the
	issue f	Pee.
		A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the
		amount of is enclosed.
		A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmitta
	,	form (PTO/SB/17 is attached to this submission in duplicate.)

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. §1.17(p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 416272001301. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: April 30, 2004

Respectfully submitted,

By: \_\_\_\_

Otis Littlefield Registration No. 48,751

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PTO/SB/08 (2-92) Sheet 1 of 2

Form PTO-1497 d 10

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 416272001301	Application Number 10/810,160		
Applicant	<u> </u>		
Myeo	ong-Je CHO et al.		
Filing Date March 25, 2004	Group Art Unit Not Yet Assigned		
Mailing Date April 30, 2004			

#### U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	8/1996	5,543,576	van Ooijen et al.	·		
:	2.	10/1996	5,569,833	Vincentz et al.			
	3.	8/11/1998	5,792,506	Buchanan et al.			
	4.	3/1999	5,889,189	Rodriguez			
	5.	8/11/1998	5,952,034	Buchanan et al.			
.,,	6.	8/11/1998	6,113,951	Buchanan et al.			
	7.	8/11/1998	6,114,504	Buchanan et al.			
	8.	8/11/1998	6,190,723	Buchanan et al.			

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	9.	2/8/1996	WO96/03505	PCT			
	10.	6/22/2000	WO00/36126	PCT			

#### OTHER DOCUMENTS

including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
	11.	Bower et al., The Plant Cell, vol. 8: 1641-1650
	12.	Brandt et al., Carlsberg Res. Commun. vol. 50:333-345, 1985
	13.	Brugidou et al., Mol. Gen. Genet, 238: 285-293, 1993
	14.	Cho, M. J, et al., "Subcellular Targeting of Barley Hordein Promoter-uidA Fusions in Transgenic Barley Seed", In Vitro Cellular and Developmental Biology 34(3) part 2:48A, 1998, abstract only.
· · · · . · · · · · · · · ·	15.	Gautier et al., 1998, European Journal of Biochemistry, 252: 314-324
	16.	Ishiwatari et al., Planta, 1995, 195 (3) 456-463
	17.	Marris et al., Plant Molecular Biology 10: 359-366, 1988
	18.	Rivera-Madrid et al., PNAS USA 92:5620-5624, June 1995
	19.	Sewalt et al., J. Agric. Food Chem. 1997, 45, 1977-1983

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

DATE CONSIDERED:

**EXAMINER:** 

orm PTO-1449	Docket Number 416272001301	Application Number 10/810,160
INFORMATION DISCLOSURE CITATION	Applicant	· <u>-</u>
IN AN APPLICATION	Myeon	g-Je CHO et al.
(Use several sheets if necessary)	Filing Date March 25, 2004	Group Art Unit Not Yet Assigned
	Mailing Date April 30, 2004	
20. Shi et al., Plant Molecular Biology. 3	2:653-662, 1996	
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EXAMINER:	DATE CONSIDERED:	